



Where are You Now? – Having a Look at your Compliance Program and the Risks Facing It

April 26th, 2017

Training Agenda

- **What Constitutes an Effective AML/CFT Compliance Program**
 - Key Elements of the Compliance Program
 - Understanding the Importance of Governance, Risk and Compliance
 - Ethics, Integrity and Setting the Tone from the Top to Create an Effective AML/CFT Organisational Culture

- **Understanding the Regulatory Environment**
 - Regulatory Bodies that Affect your Business and Building Relationships with Them
 - AML/CFT Compliance Internal and External Policies, Regulations and Laws
 - Avoiding Fines, Penalties and Regulatory Actions

- **How to use Corporate Governance to Enhance your Firm's Performance**
 - How does Corporate Governance Affect your AML/CFT Risk Management Program
 - Tips in Implementing Proper Corporate Governance Policies

- **Managing Regulatory Risk for the Benefit of your Firm**
 - Understanding and Identifying AML/CFT Risks Facing your Institutions
 - Protecting your Firm from AML/CFT Risks and other Financial Crimes
 - The Need for AML/Risk Management Policy and Procedures
 - Tips in Implementing AML/CFT Risk Mitigation Strategies