

Where are You Now? – Having a Look at your Compliance Program and the Risks Facing It

Elements of an Effective Compliance Program



With all the Regulatory challenges facing Financial Institutions and Non-Financial Businesses and Professionals (NFBBPs), it is vital to have the right knowledge, skills and individuals to perform the duties assigned to them in an organization. ALL employees including Board and Management must be aware of the necessary elements of the Compliance Program and the Risks associated with the business and not just rely on the Compliance Officer.

Areas such as, Corporate Governance, Training, Monitoring, Risk Identification, Record Keeping, Document Preparation, Report Filing, Policies and Procedures Approval, as well as, Fines and Sanctions are of key importance to Board, Management, Compliance Officers and employees.

This Training in Compliance will teach you all you need to know to approach Compliance and Risk Assessment with confidence no matter the level or position you are in.

REGISTER TODAY:

This Training Session will take place on **April 26th, 2017** and will run for three (3) hours **from 5:00pm – 8:00pm** at **Tradewinds Hotel, Dickenson Bay**. Cost for this Training Session is only **EC\$250.00**. Certificates will be awarded upon completion of the Session and Training materials and snacks also will be provided.

3 CPE Credit Hours will be awarded to participants!!



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