

7th ANNUAL

AML/CFT, Anti-Fraud and Financial Crimes

Main Conference: July 13th & 14th, 2015

Sandals Grande Antigua Resort & Spa

Dickenson Bay, St. John's, Antigua



Participants will be Awarded 16 CPE Hours

AGENDA HIGHLIGHTS:

- **Marijuana: "Challenges and Opportunities Presented by Legalization"**
- **Trends in Financial Crime Risk Management for Correspondent Banking**
- **Why "De-Risk" and Close Client Relationships When You Can "Re-Risk" and Maintain Relationships?**
- **Getting Senior Management Buy-in: What Is It, How Can You Tell If You Got It and What To Do If You Don't**
- **"Best Practices for Compliance with the New FATF Requirements for Gatekeepers and Other Non-Financial Businesses and Professionals."**
- **Panel Discussion: From FATCA to GATCA "Will the Goal Posts Ever Remain Constant? Getting Institutions Prepared in this New Era"**

WHO SHOULD ATTEND

Accountants	Law Enforcement Agencies
Auditors	Lawyers
Banks	Lending Agencies
Brokerage Firms	Micro Finance Companies
Car Dealers	Money Services Businesses
Casinos	Mortgage Brokers
Corporate Service Providers	Offshore Services Providers
Credit Unions	Real Estate Companies
FIUs	Registrar of Co-operatives
Gaming Companies	Regulators
Insurance Companies	Telecommunication Companies
Jewellery Stores	Trust Companies

MAIN CONFERENCE FEE & REGISTRATION

Standard fee per delegate US\$695.00

**Discounts are available for groups of three (3) or more from the same institution*

Fees include Conference materials, continental breakfast, refreshment break and lunch for both days, as well as, a Monday night cocktail. Certificates will be awarded to all participants.

CONTACT US:

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St. John's, Antigua, W.I.**

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training@kawmanagement.com**

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KEYNOTE SPEAKERS

DUANE LYONS



Mr. Duane Lyons is a partner in the Los Angeles Office, and his practice includes a variety of litigation and counseling services in the areas of complex commercial litigation and white collar criminal defense. Prior to joining the firm, Mr. Lyons served as an Assistant United States Attorney from 1991-2001. During that time, he successfully prosecuted over twenty-five (25) criminal trials and argued more than a dozen cases before the Ninth Circuit Court of Appeals. From 1999-2001, he also served as the Chief of the Criminal Complaints Section where he was responsible for making charging decisions for the majority of criminal cases filed in the Central District of California.

Mr. Lyons has used his expertise in criminal law to successfully represent individuals and corporations in criminal investigations, asset forfeiture cases and administrative proceedings before a variety of state and federal investigative agencies. Mr. Lyons has also represented major corporations in civil law enforcement actions filed by local prosecutors under California's Unfair Competition and False Advertising Laws.

During his tenure as an AUSA, Mr. Lyons received awards from numerous law enforcement organizations. He also received a personal commendation from the Attorney General of the United States for his work on the successful civil rights prosecution of the Los Angeles police officers involved in the Rodney King beating. In addition, Mr. Lyons received Special Achievement Awards from the Department of Justice in 1994, 1995, 1996 and 1997. In 2000, he received the Attorney General's John Marshall Award, the highest award given to attorneys within the Justice Department, for his role as lead prosecutor in Operation Casablanca, the largest money laundering case in U.S. history.

TERRY STEANS



Mr. Terry Steans has travelled the world since 2010 investigating match fixing, the fixers themselves and the criminal syndicates who work with them. Mr. Steans is one of the world's leading experts on football match fixing and manipulation. Mr. Stearns began investigating match fixing while working for the FIFA Security department and became global investigations coordinator before moving on to work as a subject matter expert for the International Center for Sports Security, Integrity Team in Qatar. Since 2014 he has been an independent sports corruption consultant and in the last year he has made TV documentaries on match fixing for the award winning Channel Four Dispatches Program in the UK, CNN sports desk in America, Sky sports UK and Danish National TV.

SPEAKERS' PROFILES

CHERISE COX-NOTTAGE



Mrs. Cherise Cox-Nottage is presently the Head of the Legal Department for UBS (Bahamas) Ltd. A role which encompasses oversight of the two (2) largest trust companies of the UBS group, namely UBS Trustees (Bahamas) Ltd. and UBS Trustees (Cayman) Ltd. Prior to UBS she held the position of Head of Legal and Compliance for a rival Swiss bank for three (3) years. She has practiced as an Attorney in the UK and in The Bahamas providing legal advice and representation to institutional clients.

Mrs. Cox-Nottage is a graduate of the London School of Economics Political Science (LSE) London University where she obtained a LL.M (Master of Laws) degree in subject grouping Commercial and Corporate Law. She also obtained her undergraduate degree in Law from the University of Kent at Canterbury (UKC) England. She is a member of the Bars of England and Wales and The Bahamas. She is also a TEP (Trust and Estate Practitioner), a Certified CAMS (Certified AML Specialist by ACAMS, USA), has an Int.Dip (Comp), an International Diploma in Compliance (with distinction) and an Int.Dip (AML) International Diploma in Anti-money Laundering (with distinction) from the ICA (International Compliance Association) U.K.

Mrs. Cox-Nottage has published internationally in such publications as *“The Cayman Review”*, and *“ACAMS TODAY” - (a US compliance magazine)* and is a regular contributor to The Bahamas Compliance Association’s (BACO’s) bi-monthly publication on AML and Compliance matters for the jurisdiction and region. She has also participated in ACAMS’ Web-cast Conferences and as a featured speaker at international conferences on AML matters.

HUGO CUEVAS-MOHR



Mr. Hugo Cuevas-Mohr is President and CEO of Mohr World Consulting, a consultancy firm based in Miami, Florida with associate partners in Mexico and Montevideo. Mr. Cuevas-Mohr is a Money Transfer and Remittances Expert and has been a consultant for a number of companies, financial institutions, government agencies, NGOs and start-ups in the Money Transfer & Remittances Industry in Latin America, United States and Europe.

Founder of several Money Transfer Companies in Latin America & the US and a consultant since 2001, Mr. Cuevas-Mohr is invited regularly as a Speaker in International Payment Conferences on Remittances, Financial Inclusion, Mobile Money, Regulation, Rebittances (Bitcoin Remittances), etc. His work as a consultant lead to the development of IMTC, the International Money Transfer Conferences which he directs since 2010. IMTC WORLD in Miami is the largest Money Transfer Conference in the world.

SPEAKERS' PROFILES

CONNIE FENCHEL



Ms. Connie Fenchel is president of AML Experts, Inc., an independent consulting firm specializing in anti-money laundering, the Bank Secrecy Act and customs matters. Ms. Fenchel's expertise includes independent reviews and investigations, threat/risk assessments, domestic and international training, expert testimony and anti-money laundering program development. Her clients include Western Union, MoneyGram, CVS, PayPal, Xoom and various money transmitters, check cashers, jewelers and pre-paid access providers. Ms. Fenchel holds a Masters Degree in Management from National Louis University and a Bachelor Degree in Criminal Justice from the University of South Florida.

Ms. Fenchel has a broad range of law enforcement, regulatory and management expertise in the areas of regulatory compliance, financial crimes and customs violations comprised of over thirty-five (35) years of government and private sector experience. Ms. Fenchel served as the Deputy Director, Operations and the Executive Assistant Director of Law Enforcement Policy for the Financial Crimes Enforcement Network (FinCEN) from 1999-2003. Ms. Fenchel conducted numerous training seminars throughout the United States and internationally for law enforcement personnel and the financial industry on money laundering, the BSA and the USA Patriot Act. She also led delegations to international conferences and multi-lateral meetings throughout the world.

OLIVER GALE



Mr. Oliver Gale is an honours graduate from the University of Bristol, double majoring in Accounting and Finance. Mr. Gale was introduced to Bitcoin in 2012 when he immersed himself in the field, co-founding successful crypto-currency mining and trading companies by combining his knowledge of traditional finance with a growing knowledge of digital finance.

Mr. Gale is the Chief Financial Officer (CFO) and Co-founder of Bitt, the Caribbean's premier bitcoin exchange, as launched in March this year. Mr. Gale also has an extensive entrepreneurial background in the international entertainment industry.

SPEAKERS' PROFILES

LAURA GOLDZUNG



Ms. Laura Goldzung, CFE, CAMS, CFCF, CCRP is President and Founder of AML Audit Services, LLC (“AMLAS”), a boutique consulting firm specializing in independent testing. Ms. Goldzung’s expertise includes customs training, independent reviews, domestic and international training, design and development of BSA/AML compliance programs, risk assessments, and anti-fraud programs. Ms. Goldzung has worked with institutions that have been referred to enforcement for BSA/AML violations, helping them to bring their AML programs into compliance.

In a career spanning more than more than thirty (30) years across multiple sectors of the financial services industry, Ms. Goldzung has worked in a variety of executive leadership roles. Since founding AML Audit Services, she has co-created sector-specific compliance officer certification programs, tours the U.S. presenting various AML/Fraud topics for industry-leading companies and contributes to multiple industry programs.

Ms. Goldzung has authored a number of articles on the topic of AML and is active with several industry groups including the Association of Certified AML Specialists, Association of Certified Fraud Examiners, Association of Certified Financial Crime Specialists, National Society of Compliance Professionals, SIFMA Compliance & Legal Society and Institute of Internal Auditors. She serves on a variety of industry task forces and committees, and presents keynote presentations for a number of compliance, industry and university programs.

ANDREW ITTLEMAN



Mr. Andrew Ittleman is a founder and partner of Fuerst Ittleman David & Joseph in Miami. Mr. Ittleman focuses his practice on providing comprehensive representation to highly regulated businesses, including clients operating in the financial services, biotechnology and international trade industries and frequently lectures on these subjects for industry trade groups. Mr. Ittleman has more recently been called upon to combine his food and drug and anti-money laundering backgrounds in assisting marijuana-related businesses achieve financial compliance.

Mr. Ittleman graduated from the University of Miami School of Law in 2004 and was certified as an Anti-Money Laundering Specialist (CAMS) in 2006. He is admitted to practice law in the state of Florida, as well as, numerous federal district courts and courts of appeal across the United States

SPEAKERS' PROFILES

BARBARA KELLER



Ms. Barbara I. Keller is an independent anti-money laundering/financial crime consultant and an advisor with the Economic Crimes Team of the U.S. Treasury Department's Office of Technical Assistance (OTA). As a consultant, she provides consulting services on financial institution compliance with anti-money laundering/counter the financing of terrorism (AML/CFT) regulatory requirements. Ms. Keller also writes articles and conducts training on AML/CFT regulatory issues. As an OTA advisor, she provides technical assistance based on Financial Action Task Force (FATF) recommendations and assists countries as they prepare for mutual evaluations.

Ms. Keller joined FinCEN in September 2009 after twenty-six (26) years with the U.S. Government Accountability Office (GAO), the congressional oversight agency. For the last ten (10) years of her GAO career, Ms. Keller was an Assistant Director in the financial markets areas where she managed a number of assignments mostly dealing with anti-money laundering and counter-terrorist financing issues. Ms. Keller retired from Federal Service in August 2013.

Ms. Keller is a Certified Anti-Money Laundering Specialist and a Certified Financial Crime Specialist. She graduated cum laude with a B.S. in Languages from Georgetown University and holds an M.A in Public Administration from the University of Virginia.

KIM MANCHESTER



Mr. Kim Manchester is the Managing Director and founder of ManchesterCF and brings his extensive background in international banking to the global financial services industry. While based in both Hong Kong and Singapore, Mr. Manchester held senior regional and global positions in corporate banking, institutional banking and capital markets for one of the world's largest emerging markets financial institutions.

Mr. Manchester returned to Canada in 2003 and founded ManchesterCF. Based in Toronto, the practice provides financial crime risk management training programs, advisory services and project management to financial institutions, financial intelligence units and other public-sector departments. In 2013, ManchesterCF partnered with the International Compliance Association to distribute anti-money laundering and counter-terrorist financing training programs to compliance professionals around the globe.

SPEAKERS' PROFILES

KESHMA MARARAJ



Ms. Keshma Maharaj has been a compliance practitioner for more than eight (8) years and worked with a number of financial institutions in Trinidad.

Ms. Maharaj developed compliance programmes for companies in Trinidad and the Eastern Caribbean. She also presented on Anti-Money Laundering at Counter Financing of Terrorism at various forums in Trinidad and Tobago and the Eastern Caribbean. In addition, Keshma has been a lecturer for the past five (5) years and lectured with a number of tertiary institutions in Trinidad in the areas of management, accounting, business ethics, and corporate governance.

Ms. Maharaj obtained her bachelors degree from the Oxford Brookes University, London. She is a Director and founding member of the Association of Compliance Professional of Trinidad and Tobago and also a member of the Bankers' Association Sub Committee on Fraud and Anti Money Laundering.

PETER McKIERNAN



Mr. Peter McKiernan has fifteen (15) years international financial services experience, having worked at Fortis in the Cayman Islands and Prime Management Limited in Bermuda. Mr. McKiernan left his position as director of Prime Management where he was responsible for compliance training to join Advanced Alternative Investment Systems as a Technical Architecture specialist for the design of an accounting and compliance software platform. Mr. McKiernan joined RiskPass in June 2013.

Mr. McKiernan is a Chartered Accountant and is a council member of the Cayman Islands Compliance Association. He holds a Bachelor of Science Degree and Bachelor of Commerce degree from the University of Alberta in Edmonton, Canada.

SPEAKERS' PROFILES

GEORGE ROPER



Mr. George Roper is the Vice President Compliance – Scotiabank Group. In that position he has responsibility for the leadership and oversight of the AML/CFT and financial regulatory compliance programmes of Scotiabank and its affiliates in Jamaica. Mr. Roper was previously the Deputy Executive Director of the Financial Services Commission (FSC), taking up that position on April 1, 2007. Mr. Roper acted as Executive Director of the FSC in 2008, at a time during which the FSC took decisive action against unregulated financial organizations.

Mr. Roper is a former President and CEO of Mayo Holdings Limited (1998-1999), a management consultancy practice specializing in outsourced internal audit services for clients operating in the investment, merchant, banking, fast food, real estate, property management and hospitality industries. Mr. Roper was also previously the Head of Information Systems Audit at ICWI Group (1992-1995) and Chief Internal Auditor at Jamaica Mutual Life Assurance Society (1995-1997).

Mr. Roper is a Fellow of the Institute of Chartered Accountants of Jamaica (“ICAJ”), a Fellow of the UK’s Association of Chartered Certified Accountants and holds a BSc. degree in Management Studies. He is also a Certified Information Systems Auditor (“CISA”). Mr. Roper serves the Accounting profession through his membership of the Public Accountancy Board (2014 to present) and the ICAJ’s Audit Practices Committee (1999-present).

GLENNA SMITH



Ms. Glenna Smith is Managing Director of Smith Compliance Consulting Inc. in Barbados engaged as a regulatory compliance consultant. Ms. Smith has gained wide and in-depth knowledge in compliance, operational risk, corporate governance, enterprise risk management, legislative matters, managing audits and regulatory inspections, as well as, operational aspects over twenty-six (26) years in the financial services sector. Prior to consulting, Glenna was the Anti-Money Laundering Officer, Privacy Officer, and Ombudsman at the Toronto head office for a large North American financial institution. Ms. Smith was a key member of the Senior Leadership Team and Risk Committee providing strategic direction, regulatory guidance, and compliance management of day-to-day activities.

With a depth of experience in consumer regulatory compliance for banks operating in multiple jurisdictions, Ms. Smith earned the highest effectiveness ratings by Internal Audit on the Privacy and AML Compliance Programs. She has also broad project, financial and banking experience with both well-established and start-up operations. Ms. Smith obtained a Bachelor of Commerce, with a major in Finance, from Concordia University in Montreal. Ms. Smith is also a Certified Anti-Money Laundering Specialist (CAMS) and a member of the Barbados Association of Compliance Professionals (BACP).

SPEAKERS' PROFILES

KIMBERLY SMITH



Ms. Kimberly Smith is owner and designer of SILO, a client due diligence and compliance reporting software designed based on her experience as a former Compliance Officer and MLRO of a large offshore law firm and registered agent. SILO is currently used by regulated businesses in the Caribbean. She holds a bachelor's degree in Finance and a Diploma in Anti-Money Laundering from the International Compliance Association in London.

CHARLES WORRELL



Dr. Charles Worrell started his career as an officer in the U.S. Navy, serving in both the Pacific and Atlantic Fleets and specializing in shipboard operations and telecommunications. Since that time, he has worked in Network Operations at Verizon and as Director of Systems Development at the American Automobile Association's (AAA) Response Services Center, where he evaluated automated call center technologies for delivering location based services.


Dr. Worrell conducted research using Bayesian Inference Networks to forecast the financial performance of corporations while earning his Ph. D. in Information Technology at George Mason University. Dr. Worrell also holds a M.S. in Systems Management from the Naval Postgraduate School and a B.A. in Regional Science from the University of Pennsylvania.

Dr. Worrell is a Certified Fraud Examiner (CFE), a Certified Anti-Money Laundering Specialist (CAMS), a Cisco Certified Design Professional (CCDP-WAN) and is licensed as a 100 Ton Master Mariner by the U.S. Coast Guard.

Dr. Worrell provides advice and support to clients in implementing information systems and compliance solutions as Managing Director of Tarpon Associates. Dr. Worrell conducts research on automated techniques for detecting financial crimes and modeling group decision making with the MITRE Corporation. Dr. Worrell is the principal inventor of the patented DAP-E Method for modeling group decision making and has developed automated systems to detect events such as disease outbreaks, accounting fraud and other illicit activities for customers in the U.S. federal government.

CONFERENCE MODERATOR

DECLAN HILL



Dr. Declan Hill is an investigative journalist, documentary maker and academic. Dr. Hill specializes in the study of organized crime and international issues. His two books, “*The Fix: Soccer & Organized Crime*” and “*The Insider's Guide to Match-Fixing in Football*”, were the first exposures of the corruption at the heart of international football and have become best-sellers in twenty-one (21) languages. *The Fix* has been optioned in Hollywood for adaptation into a TV series.

Dr. Hill has also made documentaries for BBC and CBC on subjects like the killing of the head of the Canadian mafia, blood feuds in Kosovo and ethnic cleansing in Iraq. His work has also appeared in the *New York Times*, *Guardian*, *Sunday Telegraph*, *der Spiegel* and over five-hundred (500) international media outlets. He has testified on organized crime's reach into sport before the International Olympic Committee, the European Parliament, the Council of Europe and a number of other international sports associations and political bodies. Dr. Hill was a Chevening Scholar at Green College, University of Oxford where he obtained his Doctorate on the study of match-fixing in professional football.

AML/CFT CONFERENCE 2015 HOTEL ACCOMMODATIONS



Blue Waters Hotel
Soldier's Bay
St. John's, Antigua
Tel: (268) 462-0290 Fax: (268)462-0293
Website: www.bluewaters.net

HOTEL ROOM RATES:

Single Occupancy Rate US\$250.00 and Double Occupancy Rate US\$285.00 per night inclusive of breakfast and applicable hotel taxes based on availability.

Delegates have up until July 09th, 2015 to book these special rates and rates only apply to stays between July 11th - 16th, 2015.

**Direct bookings can be made via email address hotelres@bluewaters.net or via telephone number (268) 462-0290 please indicate "KAW AML CONF 15" to secure the above rate.*



Trade Winds Hotel
Dickenson Bay
St. John's, Antigua
Tel: (268) 462-1223 Fax: (268) 462-5007
Website: www.twhantigua.com

HOTEL ROOM RATES:

Single/Double Occupancy Rate US\$163.00 per night (room only) based on availability.

Single/Double Occupancy Rate US\$178.00 per night (room and breakfast) based on availability.

Rates are for Single and Double Occupancy and do not include 22.5% tax and service charge.

Direct bookings can be made via the website www.twhantigua.com after clicking "Book Now" the promo code is "KAW Conference" to secure the above rate.



Transportation to Sandals Grande Resort & Spa from Blue Waters and Trade Winds will be provided. Please contact KAW for details.

KAW Management Services Ltd. can facilitate hotel reservations for delegates at an additional cost of US\$10.00 administrative fee.