

# 6th ANNUAL

# AML/CFT, Anti-Fraud and Financial Crimes Conference

Main Conference: July 14th and 15th, 2014

Sandals Grande Antigua Resort & Spa  
Dickenson Bay, St. John's, Antigua



**KAW**  
Management Services Ltd.

CAMS CREDITS TBA

## AGENDA HIGHLIGHTS:

- Investigating and Prosecuting PEPs: "The Pavlo Lazarenko Money Laundering Story"
- "The Increased Threat of Cyber Crime on Financial Institutions"
- Corruption "The Theft and Laundering of State Assets"
- Inside the Mind of the Regulator: "Achieving Compliance from a Former Regulator's Perspective"
- Using Effective Identification & Monitoring Strategies when Dealing with Caribbean PEPs
- Bribery and Corruption: Avoiding the Pitfalls or Becoming a Target in the New Worldwide Anti-Corruption Regime
- How to Prepare for FATCA Implementation for Small to Medium Size Institutions
- Regulatory Compliance: "The Guyana Experience"
- Special Focus on Challenges Associated with Disclosure Orders and TIEAs

## WHO SHOULD ATTEND

Banks	Credit Unions
Insurance Companies	Gaming Companies
Money Services Businesses	Lending Agencies
Law Enforcement Agencies	Regulators
Lawyers	Trust Companies
Accountants	Registrar of Co-operatives
FIUs	Brokerage Firms
Mortgage Brokers	Offshore Services Providers
Telecommunication Companies	Manufacturing & Retail Companies

## MAIN CONFERENCE FEES & REGISTRATION

*Standard fee per delegate US\$650.00*

Fees include Conference materials, continental breakfast, refreshment break and lunch for both days, as well as, a Monday night cocktail.

### **Early Bird Special:**

*Registrations and payments between March 1<sup>st</sup> and May 30<sup>th</sup>, 2014 will receive a 5% discount. Group registrations of three (3) or more individuals from one institution qualify for an additional 5%.*

## CONTACT US:

**KAW Management Services Ltd.**  
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# SPEAKERS' PROFILES

## GARRY CLEMENT



**Mr. Clement** started White-Collar Investigations and Consultant's Group operating as the Clement Advisory Group in April 2009 following a period with an international consulting firm as a Managing Director commencing in 2007. In addition to managing his firm, Mr. Clement is the Executive Vice President of TAMLO International Inc. which focuses on designing comprehensive online anti-money laundering courses which includes *Carl's Story*, interactive exercises, real-life examples, fictional scenarios and interviews with industry experts.

In 2012, he was appointed as a Special Advisor to ACAMS with a Canada focus and he sits on the Board of Advisors for both Bullion Management Group Inc. and Pace Global Advantage. Mr. Clement has thirty-four years (34) of policing experience; thirty (30) with the Royal Canadian Mounted Police (RCMP) in a range of investigative portfolios, undercover assignments and management roles, and four (4) years as the Chief of Police for Cobourg. He retired from the RCMP holding the national position of Director, Proceeds of Crime. He is an internationally recognized expert in the areas of money laundering, interviewing, white-collar crime, organized crime and detection of suspicious activity.

## CHERISE COX-NOTTAGE



**Mrs. Cox-Nottage** is presently the Head of the Legal Department for UBS (Bahamas) Ltd. A role which encompasses oversight of the two largest trust companies of the UBS group, namely UBS Trustees (Bahamas) Ltd. and UBS Trustees (Cayman) Ltd. Prior to UBS she held the position of Head of Legal and Compliance for a rival Swiss bank for three (3) years. She has practiced as an Attorney in the UK and in The Bahamas providing legal advice and representation to institutional clients.

Cherise is a graduate of the London School of Economics Political Science (LSE) London University where she obtained a LLM ( Master of Laws) degree in subject grouping Commercial and Corporate Law. She also obtained her undergraduate degree in Law from the University of Kent at Canterbury (UKC) England. She is a member of the Bars of England and Wales and The Bahamas. She is also a TEP (Trust and Estate Practitioner), a Certified CAMS (Certified AML Specialist by ACAMS, USA), has an Int.Dip (Comp), an International Diploma in Compliance (with distinction) and an Int.Dip (AML) International Diploma in Anti-money Laundering (with distinction) from the ICA (International Compliance Association) U.K.

Mrs. Cox-Nottage has published internationally in such publications as "*The Cayman Review*", and "*ACAMS TODAY*" - (*a US compliance magazine*) and is a regular contributor to The Bahamas Compliance Association's (BACO's) bi-monthly publication on AML and Compliance matters for the jurisdiction and region. She has also participated in ACAMS' Web-cast Conferences and as a featured speaker at international conferences on AML matters.



# SPEAKERS' PROFILES CONT'

## CONNIE FENCHEL



**Ms. Fenchel** is president of AML Experts, Inc., an independent consulting firm specializing in anti-money laundering, the Bank Secrecy Act and customs matters. Ms. Fenchel's expertise includes independent reviews and investigations, threat/risk assessments, domestic and international training, expert testimony and anti-money laundering program development. Her clients include Western Union, MoneyGram, CVS, PayPal, Xoom and various money transmitters, check cashers, jewelers and pre-paid access providers. Ms. Fenchel holds a Masters Degree in Management from National Louis University and a Bachelors Degree in Criminal Justice from the University of South Florida.

Ms. Fenchel has a broad range of law enforcement, regulatory and management expertise in the areas of regulatory compliance, financial crimes and customs violations comprised of over thirty-five (35) years of government and private sector experience. Ms. Fenchel served as the Deputy Director, Operations and the Executive Assistant Director of Law Enforcement Policy for the Financial Crimes Enforcement Network (FinCEN) from 1999-2003. Ms. Fenchel conducted numerous training seminars throughout the United States and internationally for law enforcement personnel and the financial industry on money laundering, the BSA and the USA Patriot Act. She also led delegations to international conferences and multi-lateral meetings throughout the world.

## PETER GERMAN



**Dr. German** served for thirty-one (31) years as a member of the Royal Canadian Mounted Police, before retiring in 2012 as the Deputy Commissioner for Western and Northern Canada. During his career, he worked on uniform and plainclothes duties in every province and territory, with postings on urban and rural detachments, security service, professional standards, and commercial crime duties. Dr. German was a Detective Inspector tasked with major fraud and corruption investigations in the National Capital Region. Between 2002 and 2005, he was the Director General of Financial Crime, responsible for the Force's commercial crime, market enforcement and proceeds of crime programs.

Dr. German, a member of the Ontario and British Columbia bars, previously practiced law privately, including as a Crown prosecutor and criminal defence counsel. Dr. German holds graduate degrees in law and political science, including a doctorate in law from the University of London. Dr. German's dissertation focused on kleptocrats and asset recovery. He is the author of a legal text, "*Proceeds of Crime and Money Laundering*."

Dr. German is a frequent lecturer in Canada and abroad and has served as a Canadian delegate to various international forums. He is the recipient of the RCMP Long Service and Good Conduct Medal, and clasp, the Queen Elizabeth II Golden and Diamond Jubilee Commemorative Medals, and is an Officer of the Order of Merit of Police.

# SPEAKERS' PROFILES CONT'

## LAURA GOLDZUNG



**Ms. Goldzung** is President and Founder of AML Audit Services, LLC, a boutique consulting firm specializing in independent testing. Ms. Goldzung expertise includes independent reviews, domestic and international training, design and development of BSA/AML compliance programs, risk assessments, and anti-fraud programs. Ms. Goldzung has worked with institutions that have been referred to enforcement for BSA/AML violations, helping them to bring their AML programs into compliance.

Ms. Goldzung has a broad range of expertise having worked in a variety of executive management roles across financial services industry sectors. She holds multiple professional certifications, serves on several industry task forces and committees, contributes articles on AML-related subjects and presents on a wide range of AML and fraud related topics to industry and education groups worldwide.

## JASON NARINESINGH



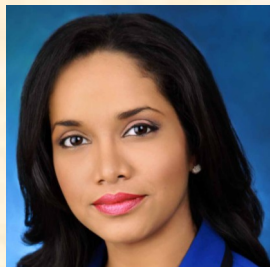
**Mr. Narinesingh** is currently the General Manager of Compliance at Scotiabank Trinidad and Tobago Limited with direct responsibility for the Southern Caribbean Region of Trinidad and Tobago and Guyana. Mr. Narinesingh's general portfolio includes the oversight and management of the AML/CFT and Regulatory Compliance Programs for the Bank, Brokerage, Trust and Insurance Companies and the Private Banking client group.

Over the past eighteen (18) years he has held progressively senior positions in Compliance and Operational risk both locally and across the Eastern Caribbean, including most recently, the position of Associate Director of Operational Risk and Compliance at another international financial institution.

Mr. Narinesingh is a founding member, past Vice President and Director of the Association of Compliance Professionals of Trinidad and Tobago. His extensive experience has allowed him to sit on the speakers' faculties of many International and Caribbean Compliance Conferences. Mr. Narinesingh is a Fellow and Certified Professional of the International Compliance Association of the UK and a Certified Anti-Money Laundering Specialist (CAMS).



# SPEAKERS' PROFILES CONT'



## CINDY SADAPHAL

**Ms. Sadaphal** is a practicing Attorney-at-Law for thirteen (13) years in the Republic of Trinidad and Tobago. She provides legal and compliance management/support on anti-money laundering, anti-terrorism, privacy, risk and regulatory issues for several Caribbean countries for which she has oversight.

Ms. Sadaphal has an interest in raising awareness on compliance and actively participates in local and regional compliance committees. Ms. Sadaphal has written articles solely or collaboratively for local, regional and international business/compliance industry magazines and local newspapers on the regulatory environment, FATCA and compliance. Ms. Sadaphal was a co-founder and the first president and chairman of the Association of Compliance Professionals of Trinidad and Tobago (ACPTT) for three (3) years.

Ms. Sadaphal was also part of the working committee for the Caribbean Regional Compliance Association (CRCA) conference held in 2008 and presented at their 2012 Conference on regulatory change. She is CAMS certified, an ACAMS member, a speaker in 2013 at its AML Conference in Florida on privacy and 2014 on PEPs.



## MORVIN WILLIAMS

**Mr. Williams** is a financial examiner at the Financial Services Regulatory Commission (FSRC) of Antigua and Barbuda. Prior to joining the FSRC, Mr. Williams worked at the Eastern Caribbean Central Bank (ECCB) as a bank examiner and economist. Mr. Williams has written several policy briefs dealing with macroeconomic issues and has presented research papers at the annual Monetary Studies Conference at the Caribbean Centre for Money and Finance, as well as, the annual AML/CFT, Anti-Fraud and Financial Crimes Conference of KAW Management Services Ltd.

Mr. Williams holds a Masters Degree in Economics from the University of Hull, United Kingdom, as well as, a Bachelors Degree in Economics and Management from the University of the West Indies. Mr. Williams is also an alumnus of the Florida International Bankers' Association where he completed his AML/CA accreditation. In 2011, he was awarded a Fulbright Fellowship Scholarship and was a Hubert Humphrey Fellow at Boston University attached to the Graduate School of Management.

# CONFERENCE MODERATOR

## DECLAN HILL



**Dr. Hill** is an investigative journalist, documentary maker and academic. Dr. Hill specializes in the study of organized crime and international issues. His two books, *“The Fix: Soccer & Organized Crime”* and *“The Insider’s Guide to Match-Fixing in Football”*, were the first exposes of the corruption at the heart of international football and have become best-sellers in twenty-one (21) languages. *The Fix* has been optioned in Hollywood for adaptation into a TV series.

Dr. Hill has also made documentaries for BBC and CBC on subjects like the killing of the head of the Canadian mafia, blood feuds in Kosovo and ethnic cleansing in Iraq. His work has also appeared in the *New York Times*, *Guardian*, *Sunday Telegraph*, *der Spiegel* and over five-hundred (500) international media outlets. He has testified on organized crime’s reach into sport before the International Olympic Committee, the European Parliament, the Council of Europe and a number of other international sports associations and political bodies. Dr. Hill was a Chevening Scholar at Green College, University of Oxford where he obtained his Doctorate on the study of match-fixing in professional football.



## AML/CFT CONFERENCE 2014 HOTEL ACCOMMODATIONS



**Blue Waters Hotel**  
Soldier's Bay  
St. John's, Antigua  
Tel: (268) 462-0290 Fax: (268)462-0293  
Website: [www.bluewaters.net](http://www.bluewaters.net)

### **HOTEL ROOM RATES:**

Single Occupancy Rate US\$250.00 and Double Occupancy Rate US\$285.00 per night inclusive of applicable hotel taxes based on availability

Delegates have up until July 10<sup>th</sup>, 2014 to book these special rates and rates only apply to stays between July 12<sup>th</sup> - 16<sup>th</sup>, 2014

*\*Direct bookings can be made via email addresses [tasean@bluewaters.net](mailto:tasean@bluewaters.net) via telephone number (268) 462-0290 please indicate "KAW AML CONF 14" to secure the above rate.*

*Transportation to and from Blue Waters Resort to Sandals Grande Resort & Spa can be provided for an additional cost of US\$6.00 per person per day.*



**Trade Winds Hotel**  
Dickenson Bay  
St. John's, Antigua  
Tel: (268) 462-1223 Fax: (268) 462-5007  
Website: [www.twhantigua.com](http://www.twhantigua.com)

### **HOTEL ROOM RATES:**

Single/Double Occupancy Rate US\$135.00 per night (room only) based on availability

Single Occupancy Rate US\$150.00 and Double Occupancy Rate US\$165.00 per night (room and breakfast) based on availability

Rates are for Single and Double Occupancy and does not include 22.5% tax and service charge

*\*Direct bookings can be made via the website [www.twhantigua.com](http://www.twhantigua.com) after clicking "Book Now" the promo code is "KAW Conference" to secure the above rate.*

*KAW Management Services Ltd. can facilitate hotel reservations for delegates at an additional cost of US\$10.00 administrative fee.*



**6th Annual Anti-Fraud and Financial Crimes Conference**

**DATE: JULY 13th - 17th ,2014**

**VENUE: ANTIGUA**

Return fares into & exit Antigua + taxes and fees

<u>FROM ORIGIN</u>	<u>TO DEST.</u>	<u>BASE FARE</u>	<u>TAXES &amp; FEES</u>	<u>FARE WITH TAX</u>
Anguilla (AXA)	Antigua (ANU)	\$160.00	\$ 122.50	\$ 282.50
Barbados (BGI)	Antigua (ANU)	\$208.00	\$ 186.10	\$ 394.10
Dominica (DOM)	Antigua (ANU)	\$142.00	\$ 113.16	\$ 255.16
Tortola (EIS)	Antigua (ANU)	\$180.00	\$ 139.50	\$ 319.50
Guyana (GEO)	Antigua (ANU)	\$386.00	\$ 175.40	\$ 561.40
Grenada (GND)	Antigua (ANU)	\$278.00	\$ 180.03	\$ 458.03
Trinidad (POS)	Antigua (ANU)	\$290.00	\$ 202.90	\$ 492.90
St. Lucia (SLU)	Antigua (ANU)	\$180.00	\$ 161.38	\$ 341.38
St. Vincent (SVD)	Antigua (ANU)	\$210.00	\$ 142.00	\$ 352.00
Guadeloupe (PTP)	Antigua (ANU)	\$192.00	\$ 141.20	\$ 333.20
Santo Domingo (SDQ)	Antigua (ANU)	\$320.00	\$ 257.70	\$ 577.70
St. Thomas (STT)	Antigua (ANU)	\$206.00	\$ 126.50	\$ 332.50
St. Kitts (SKB)	Antigua (ANU)	\$132.00	\$ 162.70	\$ 294.70
ST. Maarten (SXM)	Antigua (ANU)	\$160.00	\$ 150.50	\$ 310.50

Eligibility: Dellegates attending KAW Conference  
 Period of Application: July 13th - 17th , 2014  
 Ticket: LIAT's Call Centre, ATO  
 Reservation: LIAT's Call Centre,  
 Refunds: Fare is non-refundable  
 Stopovers: Not applicable  
 Taxes: Passenger pays any difference in taxes

**FARES ARE QUOTED IN US DOLLARS AND ARE INCLUSIVE OF TAX.  
 FARES ARE GOVERNED BY LIAT'S TERMS AND CONDITIONS.  
 FARES MAY VARY BASED ON CONNECTING FLIGHT.  
 BOOK EARLY TO SECURE A SEAT.**