



9th AML/CFT, Anti-Fraud and Financial Crimes Conference

Main Conference: July 10th & 11th, 2017

Sandals Grande Antigua Resort & Spa

Dickenson Bay, St. John's, Antigua

AGENDA HIGHLIGHTS

- ◆ Money Laundering and Other Financial Crimes and their Impact on Smaller Institutions
- ◆ Techniques to Consider when Establishing and Maintaining US Correspondent Relationships
- ◆ The Common Reporting Standard (CRS): "Uncommon Practices for Corporate Compliance and Reporting"
- ◆ Maximizing Investments in AML, Compliance Departments and Operations
- ◆ Human Resource Compliance: Understanding Know Your Employee, Conflicts of Interest, Bribery & Corruption, Privacy and Human Rights Issues
- ◆ How Predicate Offences such as Corruption and Fraud can Lead to Money Laundering and Terrorist Financing Charges, Fines, Sanctions and Imprisonment

MAIN CONFERENCE FEE & REGISTRATION

Standard Fees:

* Standard fee per delegate
US\$695.00

* Group registrations of three
(3) or more individuals
from the same institution
US\$665.00

Government Fees:

* Government fee per
delegate US\$635.00

Fees include Conference materials, continental breakfast, refreshment break and lunch for both days, as well as, a Monday night cocktail.

WHO SHOULD ATTEND

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| Accountants | Jewellery Stores |
| Auditors | Law Enforcement Agencies |
| Banks | Lawyers |
| Brokerage Firms | Lending Agencies |
| Car Dealers | Micro Finance Companies |
| Casinos | Money Services Businesses |
| CIP Agents | Mortgage Brokers |
| Corporate Service Providers | Mutual Fund Companies |
| Credit Unions | Offshore Services Providers |
| FINTECH Companies | Real Estate Companies |
| FIUs | Registrar of Co-operatives |
| Foreign Exchange Businesses | Regulators |
| Gaming Companies | Telecommunication Companies |
| Insurance Companies | Trust Companies |

SPECIAL OFFER

**Special offers available for Early Bird Registration
Contact us now!!!**

Contact Us

KAW Management Services Limited
 Unit #4, Bryson's Complex, Friars Hill Road
 St. John's, Antigua
 Tel: (268) 460-0900/460-0901
 Fax: (268) 460-0902
 Email: training@kawmanagement.com;
training.kawmgmt@candw.ag;
info@kawmanagement.com
 Website: www.kawmanagement.com

SOME OF OUR SPEAKERS INCLUDE



Brian Stoeckert is a recognized Risk Management Expert with more than fifteen (15) years of experience in providing start-ups through Fortune 500 companies with strategy intelligence, advisory services and witness testimony. At Stratis, Mr. Stoeckert manages an international portfolio of FinTech start-ups, Money Service Businesses, Financial Institutions, Government Agencies, Universities, and Entertainment Companies. Mr. Stoeckert has served as an expert witness in domestic and global civil and regulatory matters related to Anti-Money Laundering (AML) and Digital Currency Compliance.

Previously, Mr. Stoeckert led Booz Allen Hamilton's San Francisco-based strategy and risk practice, advising Boards of Directors, Audit and Compliance Committees and Executive Management teams of Financial Institutions, Money Service Businesses and Global Intelligence Firms. Brian has also served as a guest lecturer at New York University's Law School and Stern School of Business and he is a frequent presenter at Global Risk, Compliance and FinTech Conferences. Mr. Stoeckert is a member of the National Association of Corporate Directors, Chairman of the ACAMS Chapter Steering Committee and an Executive Board Member of the award-winning ACAMS Northern California Chapter. Mr. Stoeckert is a Certified Anti-Money Laundering Specialist (CAMS) and a Fraud Examiner (CFE).



Glenna Smith is Managing Director of Smith Compliance Consulting (SCC) Inc. in Barbados, as well as, a Certified Anti-Money Laundering Specialist and the Vice-President of the Barbados Association of Compliance Professionals.

Ms. Smith is a member of the world wide AML Training faculty for a Global Bank to facilitate interactive training sessions for staff and raise awareness of the impact and importance of fighting Financial Crime.

Ms. Smith is a hands-on practitioner whose goal is to help achieve better compliance for clients, having worked directly in the field as a Compliance Officer facing regulators and managing inspections. Ms. Smith has gained wide and in-depth knowledge in Compliance, Operational Risk, Corporate Governance and legislative matters over her twenty-eight (28) years in the financial services sector.

Ms. Smith has authored a number of articles on AML, Governance, FATCA and Privacy and speaks regularly at conferences regionally and internationally.

SOME OF OUR SPEAKERS INCLUDE



Karen O'Brien is the founder and Managing Director of Global Compliance Solutions (GCS), an outsourced Compliance Company that is based in the Cayman Islands that services clients throughout the Caribbean. Ms. O'Brien was a Police Officer for sixteen (16) years with the Toronto Police Service, Ontario, Canada, and spent the last five (5) years of her career in the Fraud and Forgery Squad. Ms. O'Brien spent two (2) years investigating financial crime in the Cayman Islands as a Detective with the Royal Cayman Islands Police before branching out to the private sector and specializing in Compliance, Anti-Money Laundering and Regulatory issues.

Ms. O'Brien participated in the Cayman Islands National AML/TF Risk Assessment as a member of the Other Financial Institutions sub-committee. Ms. O'Brien is the founder of the International Association of Certified Compliance Specialists (IACCS), an Executive Committee member of the Cayman Islands Compliance Association and a member of the International Association of Financial Crimes Investigators.



Scott Dueweke is an expert on identity, the blockchain and alternative payment systems. Mr. Dueweke has advised senior leadership within financial institutions, the U.S. government, as well as, international law enforcement. He believes that reaching the potential of the blockchain and new digital payment systems to meet the needs of the disconnected billions requires understanding and management of the security risks inherent within them. Mr. Dueweke has provided training on digital identity, the blockchain and other digital value systems to non-profits, corporations and governments, including Citigroup, the National Health Care Innovation Summit, the US Intelligence Community, FBI, Department of State, USAID, INTERPOL, EUROPOL and the UNODC to name a few. Mr. Dueweke has worked with the IRS and other parts of government on understanding and managing the risks and costs of big data and privacy. Mr. Dueweke has also supported Operation Underground Railroad in their efforts to stop global child sex slavery rings.

Mr. Dueweke began his career with the U.S. Agency for International Development, where Mr. Dueweke participated in the Armenian earthquake and Hurricane Gilbert responses. Mr. Dueweke also co-founded Freedom Flight International in the mid-1990s where, working with the U.S. Coast Guard, his organization flew private aircraft over the Florida Straits to assist the rescue of Cuban rafters as profiled in the book, "Dying to Get Here: A Story of Coming to America".

SOME OF OUR SPEAKERS INCLUDE



Tyrone L. E. Fitzgerald is a Management Consultant, Anti-Money Laundering/Compliance Trainer and Corporate Strategist at Fitzgerald Consulting Group Ltd., an Organizational Development and Management Consulting Company, specializing in Interdependent Business Strategies, Corporate and Compliance Training and Coaching and Integrative Organizational Learning, Risk Management, Compliance Processes and Methodologies for Financial Institutions, Non-Profits, and Quasi-Governmental and Regulatory Agencies in the Bahamas and the Caribbean.

Mr. Fitzgerald has coached and consulted local and international businesses, entrepreneurs and individual and corporate clients in the areas of Corporate and Commercial Law, Anti-Money Laundering and Compliance, Business Development, Financial and Corporate Services, Academia, Politics, Philanthropy and Social Entrepreneurship in the Bahamas and Caribbean.

Mr. Fitzgerald has taught as an adjunct lecturer at The Bahamas Institute of Financial Services, a professional training institute for financial services professionals in the Bahamas. Mr. Fitzgerald holds a Bachelor of Arts degree in Economics from the University of Western Ontario, a Bachelor of Laws degree from the University of Leeds and a Master of Laws degree in Corporate and Commercial Law from the University of Cambridge.



Tyrone Neely is a career professional within the financial services industry, having served in International Banks and Trust Companies, a major accounting firm and the Regulator of Banks And Trusts Companies. Mr. Neely's experience, skill set and certification are uniquely diverse, including Financial Accounting and Auditing, Trust Administration, Operations, Information Technology and Regulatory Supervision.

Currently he leads Accord Services, offering products and services to the business and financial community in Risk and Compliance. Accord has developed proprietary systems in Risk and Control Documentation 'OnSite', and a pioneer training video in Reputation Risk (AML/CFT/KYC) 'Admit-One', which has become the product of choice by financial institutions in training their staff. A graduate of The College of The Bahamas, he is a licensed member of BICA (CPA), a member of STEP (TEP) and BACO, and also holds the Certified Information Systems Auditor (CISA) and Certified Computer Professional (CCP) designation. Mr. Neely recently achieved the International Certificate in Bank Risk and Regulation (ICBRR).

CONFERENCE MODERATOR



Dr. Declan Hill is an Investigative Journalist, Documentary Maker and Academic. Dr. Hill specializes in the study of organized crime and international issues. His two books, “The Fix: Soccer & Organized Crime” and “The Insider’s Guide to Match-Fixing in Football”, were the first exposures of the corruption at the heart of international football and have become best-sellers in twenty-one (21) languages. The Fix has been optioned in Hollywood for adaptation into a TV series.

Dr. Hill has also made documentaries for BBC and CBC on subjects like the killing of the head of the Canadian mafia, blood feuds in Kosovo and ethnic cleansing in Iraq. His work has also appeared in the New York Times, Guardian, Sunday Telegraph, der Spiegel and over five-hundred (500) international media outlets. He has testified on organized crime’s reach into sport before the International Olympic Committee, the European Parliament, the Council of Europe and a number of other international sports associations and political bodies. Dr. Hill was a Chevening Scholar at Green College, University of Oxford where he obtained his Doctorate on the study of match-fixing in professional football.

AML/CFT CONFERENCE 2017 HOTEL ACCOMMODATIONS



Trade Winds Hotel
Dickenson Bay
St. John's, Antigua
Tel: (268) 462-1223 Fax: (268) 462-5007
Website: www.twhantigua.com



HOTEL ROOM RATES:

Single/Double Occupancy Rate US\$161.00 per night (room only) based on availability.

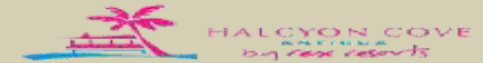
Single/Double Occupancy Rate US\$181.00 per night (room and breakfast) based on availability.

Rates are for Single and Double Occupancy and do not include 22.5% tax and service charge.

Direct bookings can be made via the website www.twhantigua.com after clicking "Book Now" the promo code is "KAW" to secure the above rate.



Halcyon Cove by Rex Resorts
Dickenson Bay
St. John's, Antigua
Tel: (268) 462-0256 Fax: (268) 462-0271
Website: www.rexresort.com



HOTEL ROOM RATES:

Single Occupancy Rate US\$120.00 per night inclusive of breakfast and applicable hotel taxes.

Double Occupancy Rate US\$170.00 per night inclusive of breakfast and applicable hotel taxes.

Direct bookings can be made via email address timeshare@hch.rexresorts.travel or via telephone number (268) 484-0501 please indicate "KAW" to secure the above rate.



Antigua Village Beach Resort
P.O. Box 649
Dickenson Bay
St. John's, Antigua
Tel: (268) 462-2930 Fax: (268) 462-0375
Website: www.antiguavillage.net

HOTEL ROOM RATES:

Single/Double Occupancy Rate US\$161.00 per night inclusive of all applicable hotel taxes.

Direct bookings can be made via email address antiguavillage@candw.ag or via telephone number (268) 462-2930 please indicate "KAW CONFERENCE" to secure the above rate.

Free Shuttle Transportation will be provided to Sandals Grande Antigua Resort & Spa from Trade Winds Hotel, Halcyon Cove by Rex Resorts and Antigua Village only. Please contact KAW for details.

KAW Management Services Ltd. can also facilitate hotel reservations for delegates for an Administrative Fee of US\$10.00.