



KAW

Management Services Ltd.

3rd ANNUAL AML/CFT, Anti-Fraud & Financial Crimes Conference

“Rising to the Challenge”

August 15th & 16th, 2011

at

Sandals Grande Antigua Resort & Spa
Dickenson Bay, St. John's, Antigua



Accredited by the
Association of Certified Anti-Money Laundering Specialists (ACAMS)
Delegates will be awarded 12 CAMS credits!

TOPICS INCLUDE

- Keys to developing an effective AML Program
- “The Dark Side of Success” - From a leading investment advisor to a fraudster
- Developing an effective Corporate Governance Structure
- The Wachovia Bank whistleblower tells how doing the right thing damaged his career
- The price of going undercover in organized crime related matters
- Creating a compliance supporting culture
- Recent updates and developments regarding FATF/CFATF
- Politically Exposed Persons - The risk involved for Financial Institutions in doing business with PEPs
- Identification of financial scams and prevention through an effective compliance program
- The money laundering and terrorist financing risks associated with Money Services Businesses (MSBs)
- How AML enforcement actions give financial institutions insight on correct programs, policies and training
- Trust & Corporate Service Providers: “How to Protect your Firms and Grow your Business”
- AML Challenges of the Caribbean: “The Myths, the Truth and Practical Advice”
- An analysis of money laundering and fraud charges brought against online gambling companies
- “In Trying Times, Don't Stop Trying”

WHO SHOULD ATTEND

Banks	Credit Unions
Insurance Companies	Gaming Companies
Money Services Businesses	Lending Agencies
Regulators	Mortgage Brokers
Lawyers	Trust Companies
Registrar of Co-operatives	Accountants
FIUs	Brokerage Firms
Law Enforcement Agencies	Offshore Services Providers
Telecommunication Companies	Manufacturing & Retail Companies

FEES & REGISTRATION

- **Standard fee per delegate US\$625.00**
- **Early Bird Special:**
Registrations and payments between April 16th and June 30th, 2011 will receive a 5% discount. Group registrations of three (3) or more individuals from one institution qualify for an additional 5%.

Contact us

KAW Management Services Ltd.
Unit #4, Bryson's Complex
Friars Hill Road
St. John's, Antigua, W.I.
Tel: (268) 460-0900/460-0901
Fax: (268) 460-0902
Email: kawmanagement@candw.ag
Website: www.kawmgmt.com

PRESENTERS



Mr. John J. Byrne is Executive Vice President of the Association of Certified Anti-Money Laundering Specialists (ACAMS). Mr. Byrne is a nationally known regulatory and legislative attorney with over twenty-eight years of experience in a vast array of financial services issues, with particular expertise in all aspects of regulatory oversight, policy and management, Anti-Money Laundering (AML) and privacy and consumer compliance. He has written over one hundred articles on AML, represented the banking industry in this area before Congress, state legislatures and international bodies such as the Financial Action Task Force (FATF).

Prior to becoming the EVP of ACAMS, John started his own regulatory advice firm, was the Global Regulatory Relations Executive for Bank of America and prior to that, the Director of the American Bankers Association's (ABA) Center for Regulatory Compliance. John has received a number of awards, including the Director's Medal for Exceptional Service from the Treasury Department's Financial Crimes Enforcement Network (FinCEN) and the ABA's Distinguished Service Award for his career work in the compliance field.



Surprise Guest Speaker: This FBI agent has spent six years in a deep undercover operation as a member of the NEW YORK MAFIA. He has since written seven books that also resulted in a movie based on his undercover life.



Mr. Ernesto López-Villegas is a Colombian attorney from Universidad de los Andes (Bogotá), with a Master in Laws -LL.M- from Northwestern University (Chicago), and a Certificate in Management from the Kellogg Graduate School of Management (Chicago). His professional focus has been on financial law, compliance and combating money laundering from various positions at the Central Bank of Colombia, the International Monetary Fund and the Caribbean Financial Action Task Force.

Since 2003 Mr. López has been helping countries to identify and address their weaknesses to combat money laundering and terrorist financing, from an international perspective. He worked for five years at the International Monetary Fund (IMF, Washington DC) as part of the Financial Integrity Group, and in September 2008 he joined the Caribbean Financial Action Task Force (CFATF, Trinidad) as one of two Deputy Executive Directors.

Mr. López has also participated in the assessment of more than nine AML/CFT national systems in Latin America, the Caribbean and Africa.

PRESENTERS



Mr. Martin Woods is the Managing Director of Hermes Forensic Solutions Ltd., he is an experienced fraud investigator and Anti-Money Laundering practitioner. As a former detective, who imprisoned money launderers and fraudsters he knows how they operate and think. He now applies that knowledge in the regulated sector and is an innovator in financial crime and Anti-Money Laundering systems and controls. He has been highly commended by regulators and is internationally recognised as an outstanding presenter and trainer. Most recently he has implemented anti bribery policies and controls and written the wholesale markets training module for the International Compliance Association's Financial Crime Certificate.



Mr. Charlie Adams is the author of the motivational book "Stoke the Fire Within" (Corby Publishing).

He is an authority on peak performance, having interviewed over two thousand high achievers as a Positive News Reporter and Sports Anchor at television stations across America.

Adams shares their insights with audiences in his compelling "Stoke the Fire Within" keynotes and breakout sessions. He loves to fire up audiences as they start their conferences, retreats or meetings.

Companies have been bringing him in for two decades to kindle the flames within their people. His mixture of motivation and humor leave everyone "stoked" for the challenges ahead!

Besides delivering motivational messages, Adams specializes in helping athletes around the world connect with scholarship opportunities at Universities in America. He is a Senior Speaker for the NCSA Athletic Recruiting Network of Chicago. He has seen a shift of companies now looking to hire true college student-athletes from respected Universities because of their work ethic, time management skills, emphasis of team, and ability to overcome adversity.



Mr. John Borbi has captivated and inspired thousands through his real life story and the extremes he experienced. Mr. Borbi was one of the nation's former leading financial advisors and investment specialists for seven years. He became a financial thief and endured two years in a federal prison in West Virginia for committing wire fraud and lost everything.

Mr. Borbi due to his experience is uniquely qualified to share techniques on managing moral and ethical dilemmas. He continues to be consulted by corporations for engaging ethics training and empowers others with solutions to avoid making unethical choices that may produce criminal activity; Mr. Borbi brings a harsh reality to attendees. Mr. Borbi graduated from the University of Detroit.

PRESENTERS



Ms. Angela Mele has over fifteen years in international financial services experience, having worked at Dundee Leeds Management Services in Bermuda, then at the Cayman Islands Monetary Authority, and most recently with Citi Hedge Fund Services (Cayman) Ltd. (formerly BISYS Hedge Fund Services (Cayman) Limited).

Angela left her position as Head of the Compliance & Training Division of Citi to take over managing Bodden Compliance & Training Ltd. in December 2007. She is a Certified Anti-Money Laundering Specialist (CAMS) and is a member of the International Compliance Association (MICA). In addition, Angela holds a Bachelor of Arts Degree from the University of Western Ontario, London, Canada, and a Bachelor of Education from York University, North York, Canada.

Angela has designed and delivered Anti-Money Laundering, Counter Terrorist Financing and business continuity training sessions for various associations and companies both locally and globally. From 2005-2007 Angela chaired the ACAMS Caribbean Task Force and beginning in 2006 to present has served as the secretary to CIFAA and currently sits as its Chair of the Educational Subcommittee.



Mr. Juan Llanos is a Certified Anti-Money Laundering Specialist since 2003, he has close to a decade's experience in building and managing AML/CFT and regulatory compliance programs for multiple international jurisdictions, including Canada, Italy, the United States and Spain, and is recognized as a pioneer in the development of compliance and risk management best practices for the money remittance industry.

He is currently VP of Service Operations and Compliance Officer for Unidos Financial Services, Inc., an innovative financial services provider catering to underbanked Hispanics in the US. At Unidos, apart from being responsible for the company's compliance risk management infrastructure, he is also in charge of strategic product development, and the business intelligence, data analytics, business process reengineering, and CRM functions. Previously, he was Chief Compliance Officer of Remesas Quisqueyana, Inc.

Mr. Llanos writes for various financial services publications and speaks frequently at industry forums and events. In August of 2008, Mr. Llanos was granted permanent resident status by the United States Citizenship and Immigration Services by reason of extraordinary ability in the fields of Anti-Money Laundering and Combating the Financing of Terrorism.



Mr. Declan Hill is an investigative journalist, documentary maker and academic. He specializes in the study of organized crime and international issues. His recent book *'The Fix: Soccer & Organized Crime'* examined the corruption at the heart of international football and has become a best-seller in eight languages. Before he published *'The Fix'* he completed documentaries on the killing of the head of the Canadian mafia, blood feuds in Kosovo and ethnic cleansing in Iraq. He has also made documentaries in Kurdistan, Bolivia, India, Mexico, and Turkey. Declan was a Chevening Scholar at Green College, University of Oxford where he obtained his doctorate on the study of match-fixing in professional football.

PRESENTERS



Mr. George Roper FCA, FCCA, CISA, BSc. (Hons.) is the Vice President Compliance – Scotiabank Group, a post he assumed on September 1, 2010. In that position he has responsibility for the leadership and oversight of the AML/CFT and financial regulatory compliance programmes of Scotia Bank. Mr. Roper and his team also provide technical support to the compliance officers and units based in Scotia DBG, Scotia Jamaica Life Insurance Co. and Scotia Jamaica Building Society.

Mr. Roper is a Fellow of the Institute of Chartered Accountants of Jamaica (“ICAJ”), a Fellow of the UK’s Association of Chartered Certified Accountants and holds a BSc. degree in Management Studies. He is also a Certified Information Systems Auditor (“CISA”) having extensive experience in financial statements and information systems auditing which was gained while he was at Price Waterhouse, now Pricewaterhouse Coopers, (1984 – 1992).



Mr. Dan Wise joined Martin Kenney & Co. in June 2005. He is a Senior Litigation Solicitor with substantial experience in complex multi-jurisdictional litigation. Mr. Wise was previously with Manches (London), before gaining experience at LIFFE in the investigation of malfeasance and fraud in the futures and options markets. Mr. Wise most recently practiced at Healys (London). At Healys, Mr. Wise was the lead lawyer in the successful pursuit of a Stg£16 million multi-jurisdictional fraud claim on behalf of a European public company. He obtained freezing and confidential document disclosure orders in England, and led the procurement of similar orders in Monaco and Belgium. Mr. Wise was also part of the claimants' team in civil fraud proceedings against Nicholas van Hoogstraten, brought by the estate of Raja, whom, it was alleged, had been killed on Mr. van Hoogstraten's orders. Mr. Wise has substantial experience in the investigation of fraud within the commodities markets and he has acted for clients with interests in the Czech Republic, Kazakhstan, Moldova, Nigeria, the United States, the Mid-East and elsewhere. Mr. Wise has basic Russian language skills.



Mr. Gordon Julien is a Senior Manager with the Scotiabank’s regional office in Barbados working in areas such as Corporate Commercial Banking, Credit Risk, Retail Banking and Operations. Prior to him taking on this role a year ago, Gordon was the Senior Manager Compliance for Scotiabank Caribbean East, comprising branches in the Eastern Caribbean, St. Maarten and Barbados. In this role he was responsible for managing the overall Compliance program for the sub-region.

Prior to joining Scotiabank, Gordon was a Senior Examiner at the Eastern Caribbean Central Bank (ECCB). During his tenure at the ECCB he was involved in all aspects of bank supervision including the supervision of offshore banks and trust companies and was actively involved in the Anti-Money Laundering and Combating Financing of Terrorism (AML/CFT) initiatives of the ECCB.

He holds an MSc in Financial Management from the University of London and was a professional member of the Association of Certified Anti-Money Laundering Specialists (ACAMS).

PRESENTERS



Mrs. Jacqueline Somersall-Berry is the Director of the Financial Intelligence Unit (FIU) of St. Kitts & Nevis, a position she has held for approximately eight years. She also serves as one of the Commissioners of the St. Kitts-Nevis Financial Services Regulatory Commission that is the ultimate regulatory body for Anti-Money Laundering and Counter-Terrorism Financing. She holds membership in the Association of Certified Anti-Money Laundering Specialists (ACAMS), the Association of Certified Fraud Examiners (ACFE), and the International Association of Law Enforcement Intelligence Analysts.

She has also served as a resource person on combating money laundering and terrorist financing at the request of the Egmont Group of FIUs, the Caribbean Regional Security Services, the United States DEA, Off-shore Alert, the Caribbean Association of Indigenous Banks and the Organization of American States.



Mr. Tyrone L. E. Fitzgerald was called to the Bar of England and Wales and the Bar of the Commonwealth of the Bahamas in 1995. He later attended the University of Cambridge, England, where he obtained a Master of Law degree in Corporate and Commercial Law. He has lectured in banking law, trusts, offshore practice and company administration, private client, Anti-Money Laundering and compliance and financial and wealth management at the School of Business at the College of the Bahamas and the Bahamas Institute of Financial Services.

He is a member of the Bahamas Bar Association, International Bar Association, American Bar Association, Bahamas Association of Compliance Officers, Commonwealth Lawyers Association, American Management Association and the Cambridge Commonwealth Trust.



Mrs. Karen O'Brien is an accomplished investigator with expertise in fraud and financial crime. Mrs. O'Brien was a police officer for sixteen years with the Toronto Police Service, Ontario, Canada, leaving with the rank of Sergeant after spending the last five years in the Fraud and Forgery Squad. She spent two years investigating financial crime in the Cayman Islands as a Detective with the Royal Cayman Islands Police before branching out to the private sector and specializing in compliance and Know Your Customer issues. Mrs. O'Brien has assisted in investigations in the USA, Scotland, Isle of Man and the UK.

As a professional consultant, Karen has experience in the design and implementation of Anti-Money Laundering policies and procedures, Know-Your Customer program reviews, risk analysis and risk management strategies, and AML training. Mrs O'Brien has created and designed Longbow Compliance Manager TM, a web-based training program and compliance tool that provides Anti-Money Laundering training specific to various jurisdictions.

Mrs. O'Brien is also a Certified Anti-Money Laundering specialist and currently Chair of the Caribbean Task Force for the Association of Certified Anti-Money Laundering Specialists, and she is also a member of the International Association of Financial Crimes Investigators. Mrs. O'Brien is also an Executive Committee Member of the Cayman Islands Compliance Association and sits on the Policy sub-committee.

PRESENTERS



Mr. Alan Pedley founder and principal consultant with Gaming Associates is recognised as a world leading expert in the field of gambling regulation. Alan was the world's first internet gambling regulator when sports betting migrated from telephones to the Internet in 1994 in the Northern Territory of Australia.

He has been a consultant to gambling regulators in the gaming industry throughout the world for more than a decade. Alan provides advice and services allowing regulators to implement effective and efficient regulation of gambling. He has audited and/or established control systems for many of the world's largest casinos, poker and sports-betting businesses.